

# An introduction to Statistical Spoken Dialogue Systems

UK Speech 2013

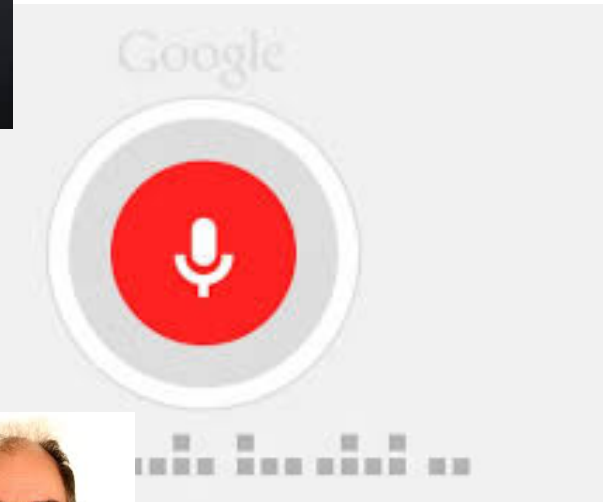
*Presented by Blaise Thomson*

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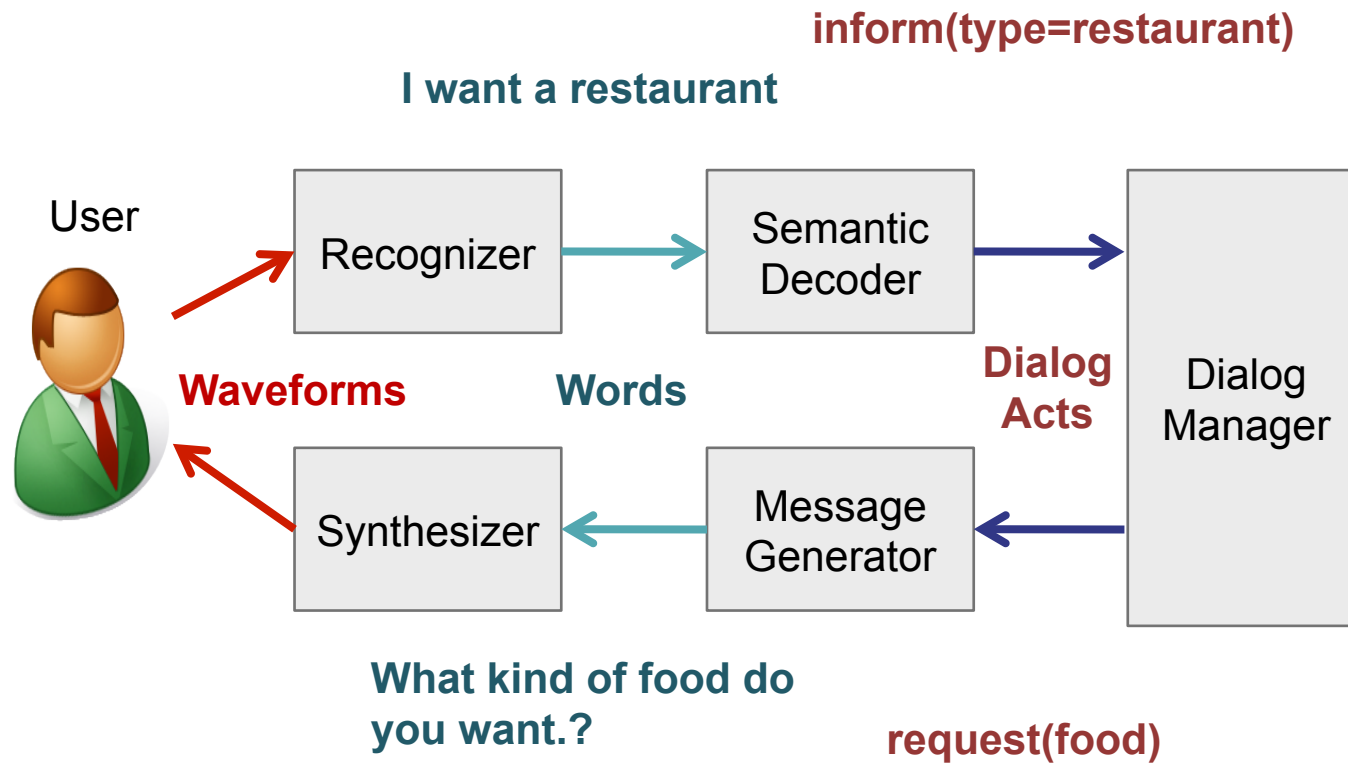
**<http://mi.eng.cam.ac.uk/~brmt2>**

# Spoken Dialogue Systems – Examples



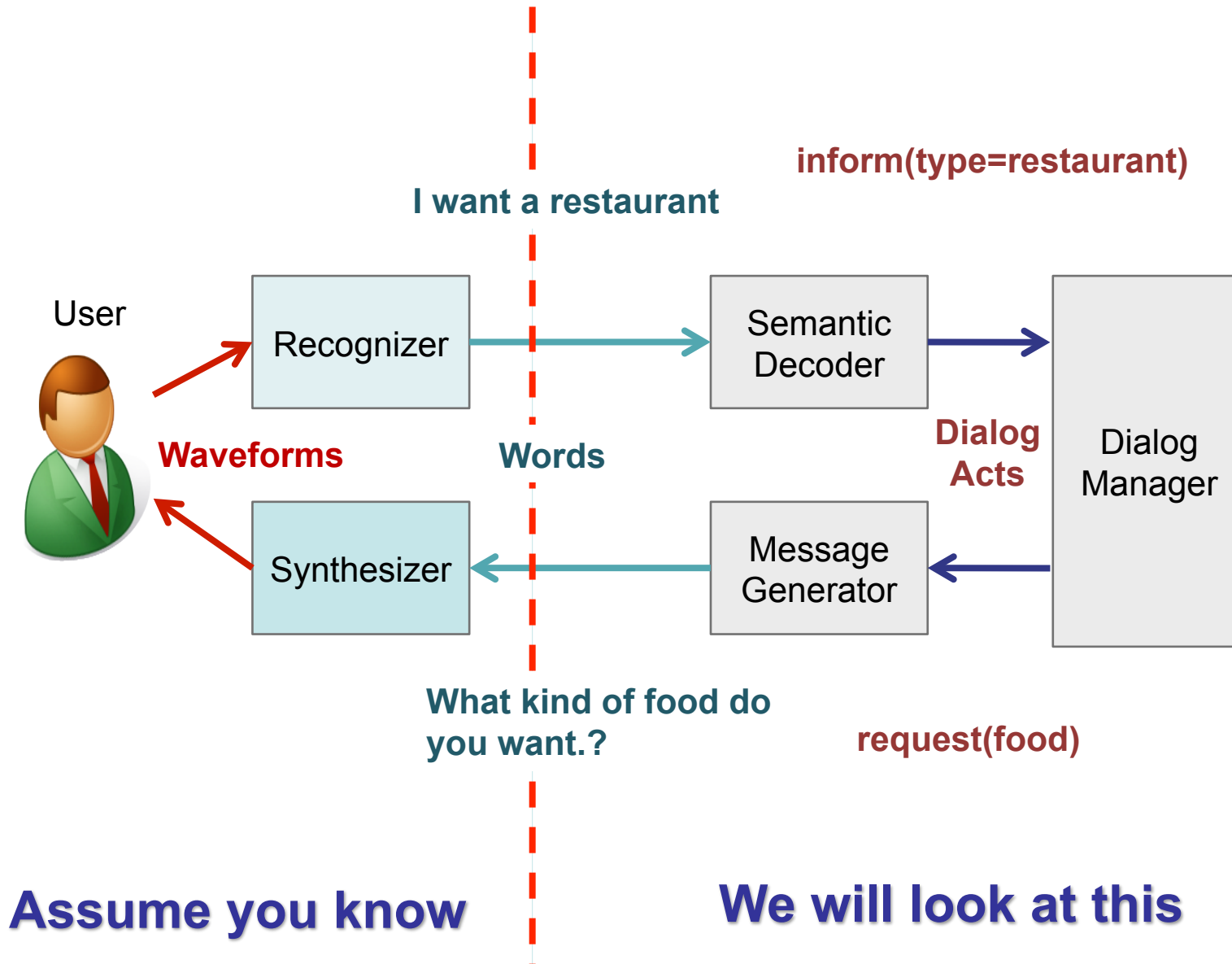
**Cambridge system demo - 01223 852 453**

# Human-machine spoken dialogue



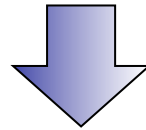
**Typical structure of a spoken dialogue system**

# Human-machine spoken dialogue



# Semantic decoding - Intro

Is there um maybe a cheap place in the centre of town please?



inform ( price = cheap, area = centre)

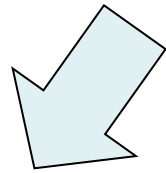
dialogue act type

semantics slots and values

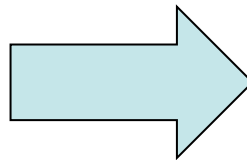
- Lots of disfluencies in speech – grammars tend to break
- We don't care about the exact meaning
  - We just want to know what the user wants
  - Idea of speech act / dialog act (Austin / Searle / Traum)

# Semantic decoding – a simple approach

Is there um maybe a cheap place in the centre of town please?



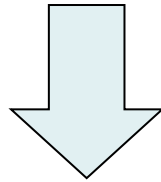
Word / Bigram	$x_i$
is	1
there	1
yes	0
um	1
...	
is there	1
is you	0
...	



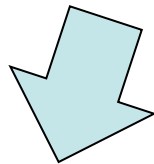
$$\begin{pmatrix} 1 \\ 1 \\ 0 \\ 1 \\ \dots \\ 1 \\ 0 \\ \dots \end{pmatrix}$$

# Semantic decoding – a simple approach

inform ( price = cheap, area = centre)

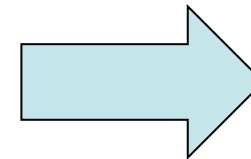


Act type
inform



Assign type number (e.g. 3)

Item	$y_i$
price=cheap	1
price=exp	0
...	...
area=centre	1
area=west	0
...	...



$$\begin{pmatrix} 1 \\ 0 \\ \dots \\ 1 \\ 0 \\ \dots \end{pmatrix}$$

# Semantic decoding – a simple approach

- When training we have lots of input vectors  $\mathbf{x}_t$  and output vectors  $\mathbf{y}_t$
- Use your favourite supervised learning algorithm
  - Naïve Bayes
  - Logistic regression
  - Support Vector Machines (Mairesse et al, 2009)
  - Others?
- Act type is multi-class labeling task, others are all just binary



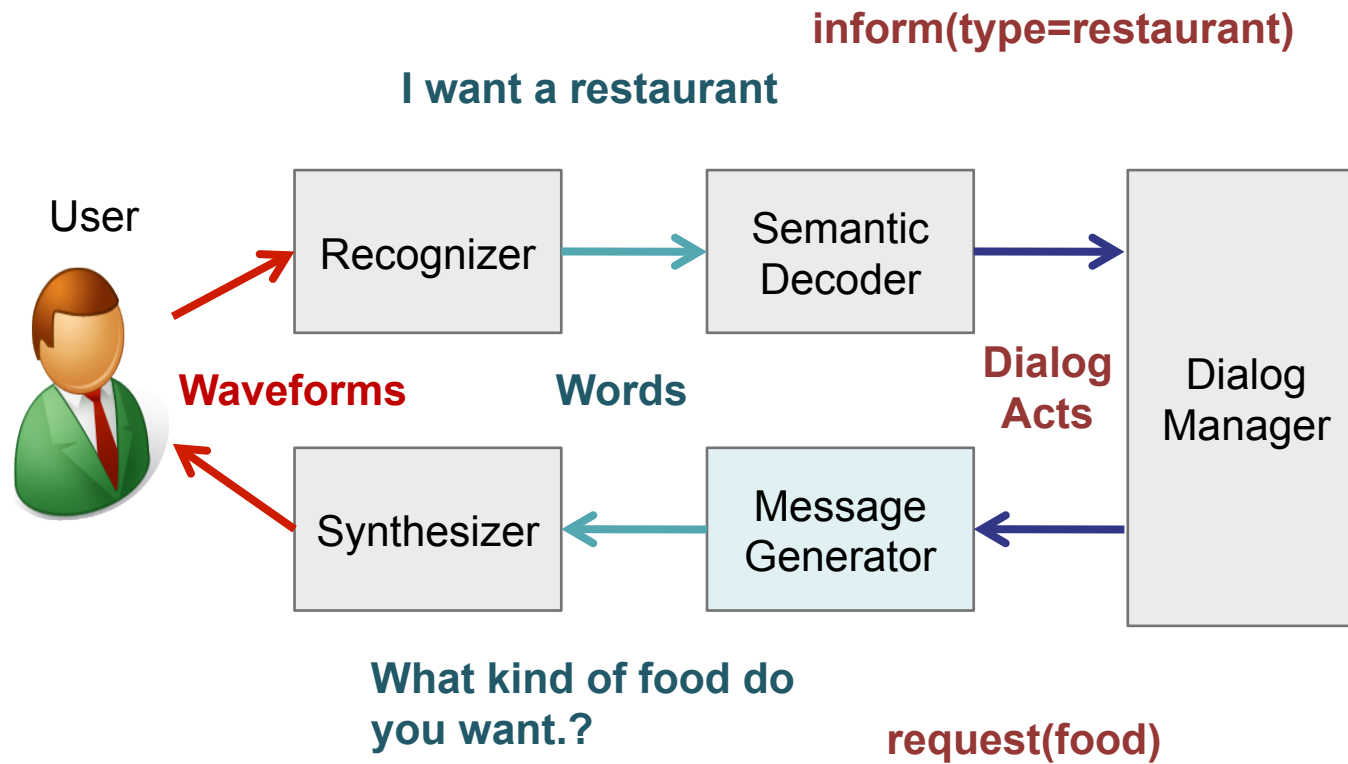
# Semantic decoding – a summary

- Words are inputs
  - Convert them to vectors (1/0)
  - Add bigrams / trigrams
  - Confusion network features too! (Henderson et al 2012)
- Act type + slot values are outputs
  - Convert them to vectors (1/0)
- Run your favourite learning algorithm
- In practice – may help to post-process a bit

# Semantic decoding – Other approaches

- Handcrafted grammars  
Phoenix (Ward et al 1994)
- Hidden Vector State model  
HMM structure, with hidden stack of concepts  
He & Young (2005)
- Using Markov Logic Networks  
Meza-Ruiz (2008)
- Transformation based approach  
Jurcicek et al (2009)
- Using Combinatory Categorical Grammars (CCG)  
Supervised - Zettlemoyer & Collins (2009)  
Unsupervised - Artzi & Zettlemoyer (2011)

# Output generation



# Output generation - Templates

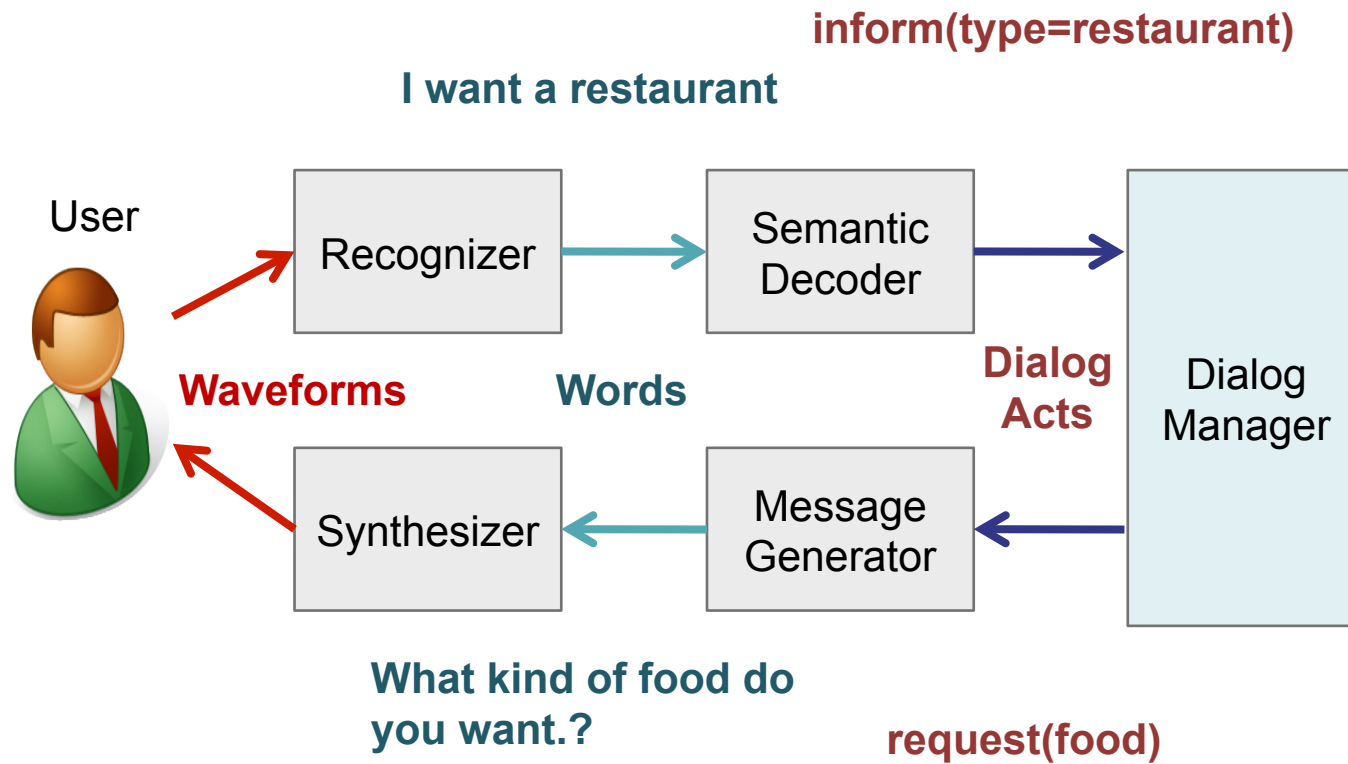
- To generate natural sentences, many systems use templates

inform(name=\$X, area=\$Y) => "\$X is in the \$Y of town"

inform(name="Char Sue", area=centre) =>  
"Char Sue is in the centre of town"

- Some work has been done on learning the generator
  - Overgenerate and rank (Langkilde & Knight 1998)
  - Bayesian Networks (Mairesse et al 2010)
  - Conditional Random Fields (Dethlefs et al 2013)

# Human-machine spoken dialogue



# Dialogue management – what to say?

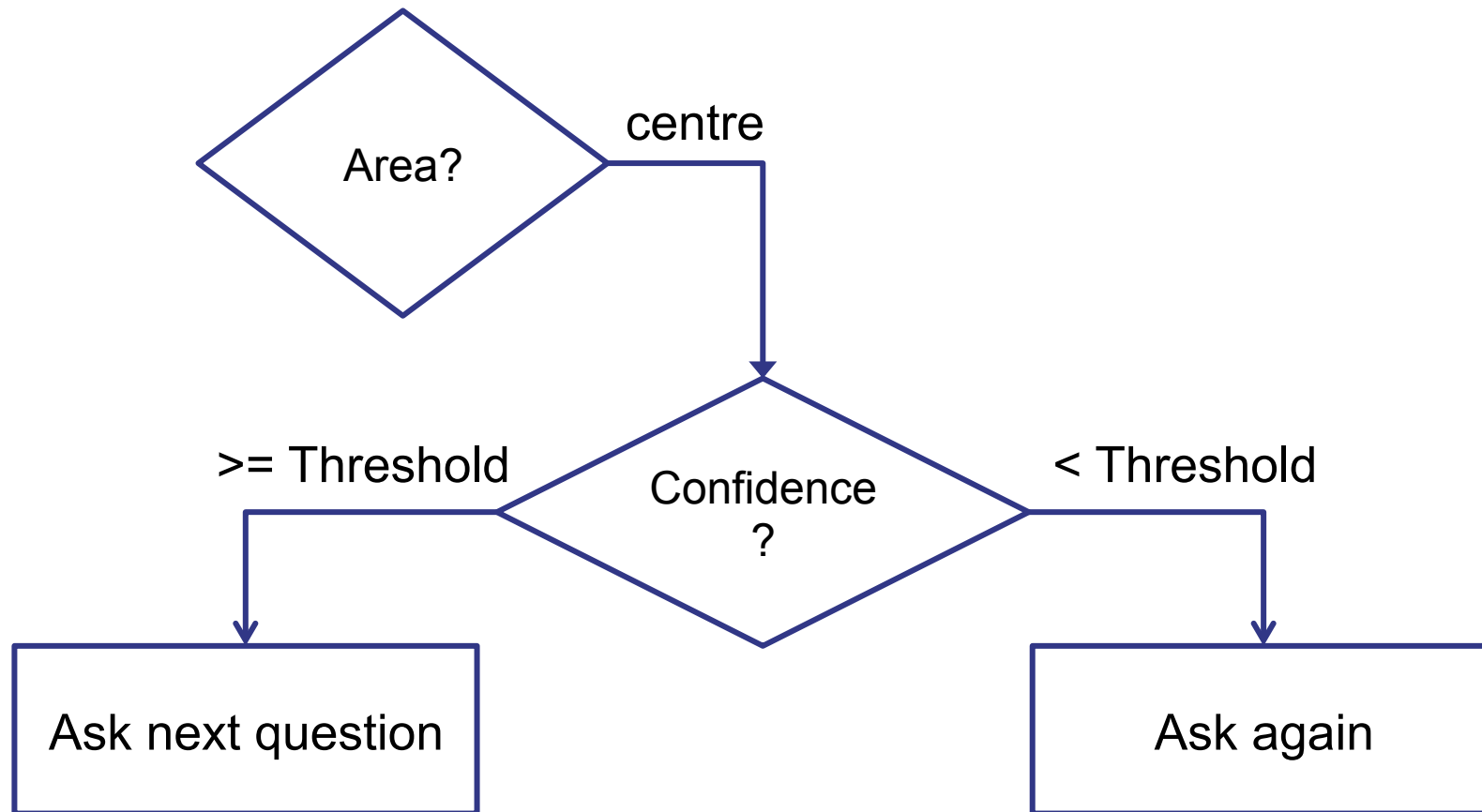


**State model**  
*(where are we)*



**Policy model**  
*(what to do)*

# State model – The traditional approach



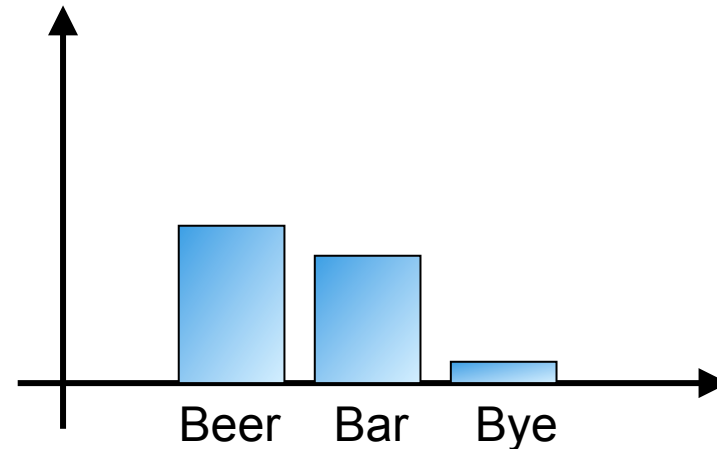
# State model – The traditional approach



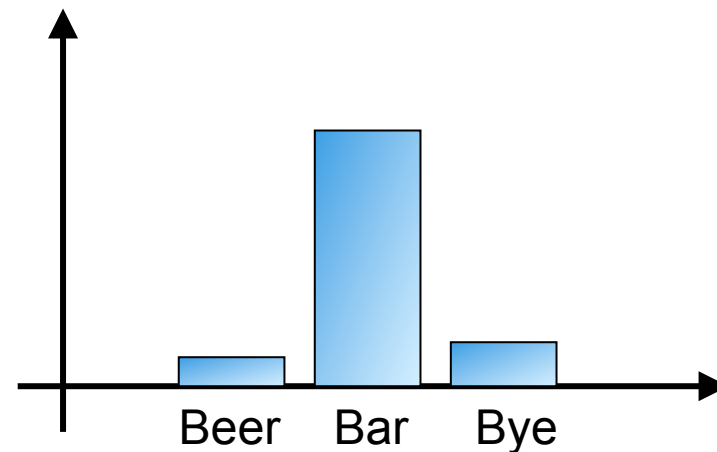


# State model – The probabilistic approach

- How can I help you?
  - I'm looking for a beer [0.5]
  - I'm looking for a bar [0.4]



- Sorry, what did you say?
  - bar [0.3]
  - bye [0.3]

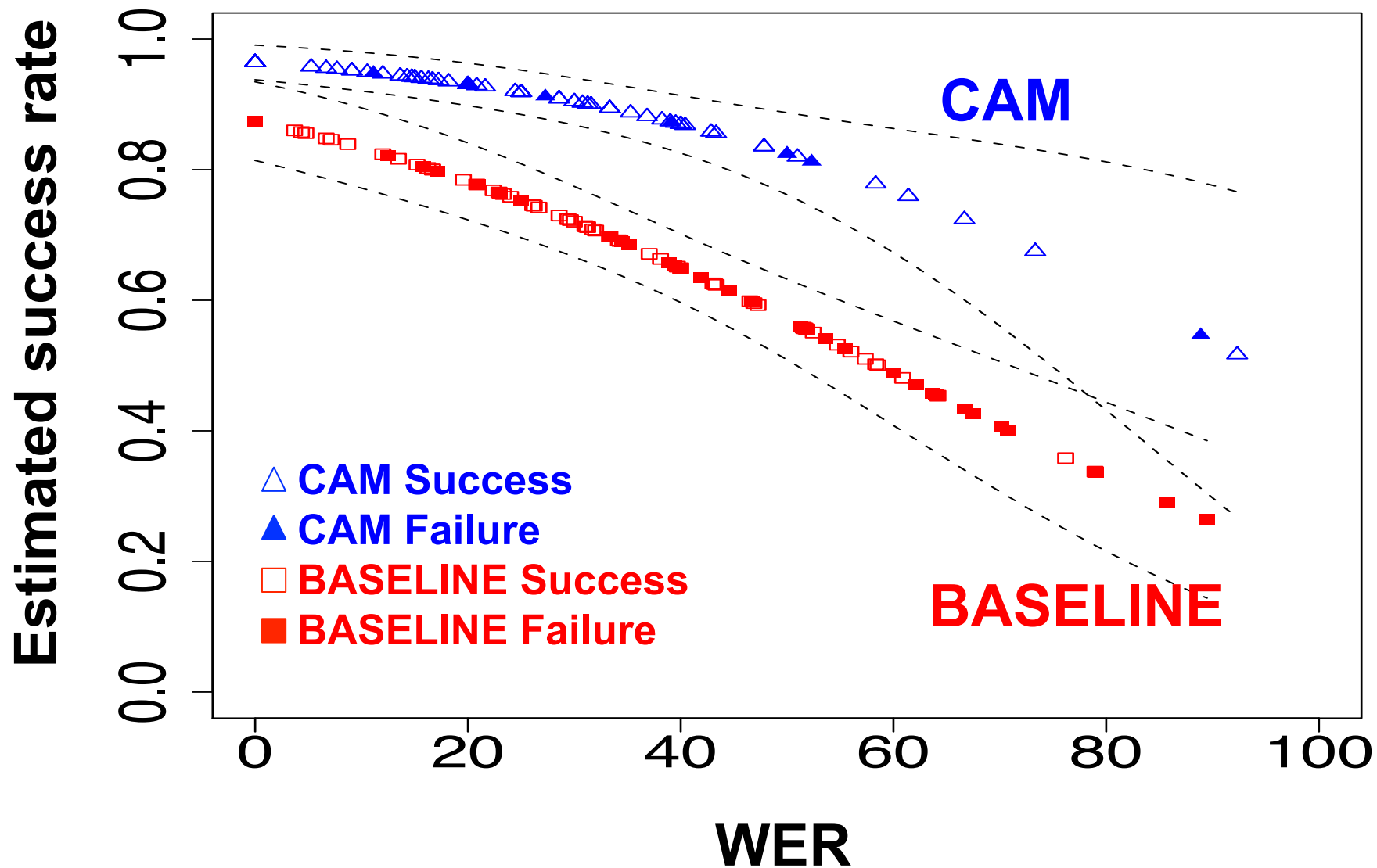


# State model – probabilities help

- Tested in the Spoken Dialogue Challenge (2009)
- Provide bus timetables in Pittsburgh
- 800 road names (pairs represent a stop). Required to get place from, to and time

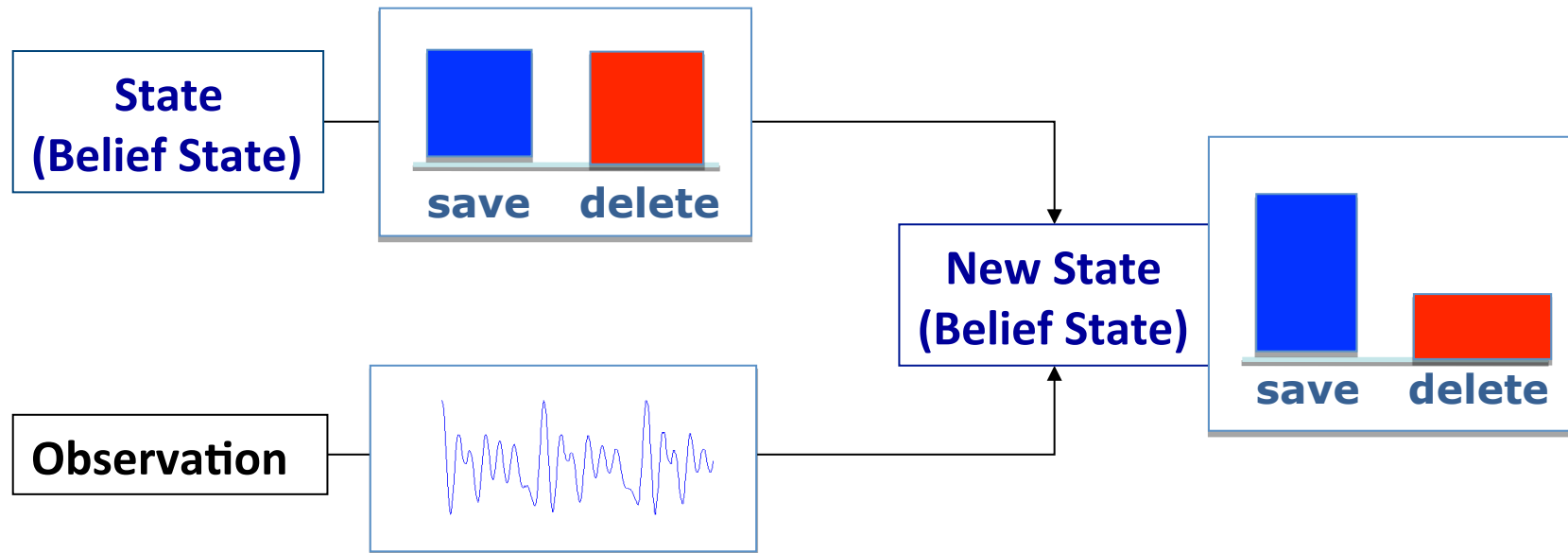
	# Dial	# Succ	% Succ	WER
BASELINE	91	59	64.8 +/- 5.0	42.35
System 2	61	23	37.7 +/- 6.2	60.66
Probabilistic	75	<b>67</b>	<b>89.3 +/- 3.6</b>	<b>32.65</b>
System 4	83	62	74.7 +/- 4.8	34.34

# State model – probabilities help

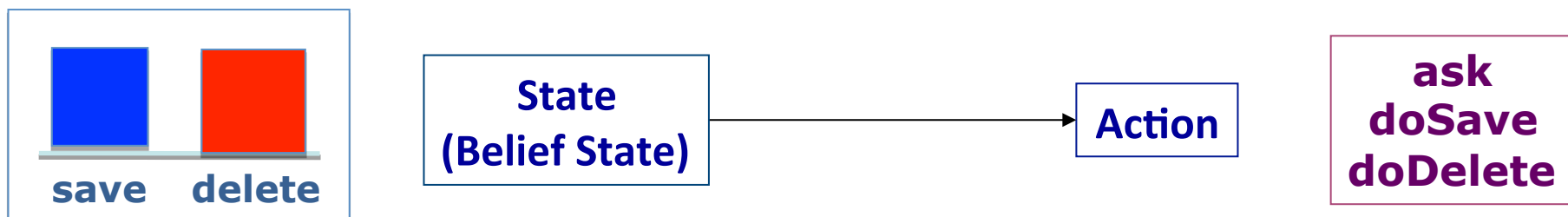


# Dialog – what would you do?

## 1. State tracking



## 2. Policy decisions



# Current approaches

## 1. State tracking:

- Hand-crafted
- Generative
- Discriminative

## 2. Policy decisions:

- Deterministic
  - Hand-crafted via flow-chart
  - Logic representations
- Supervised learning
- Reinforcement learning

# Current approaches

## 1. State tracking:

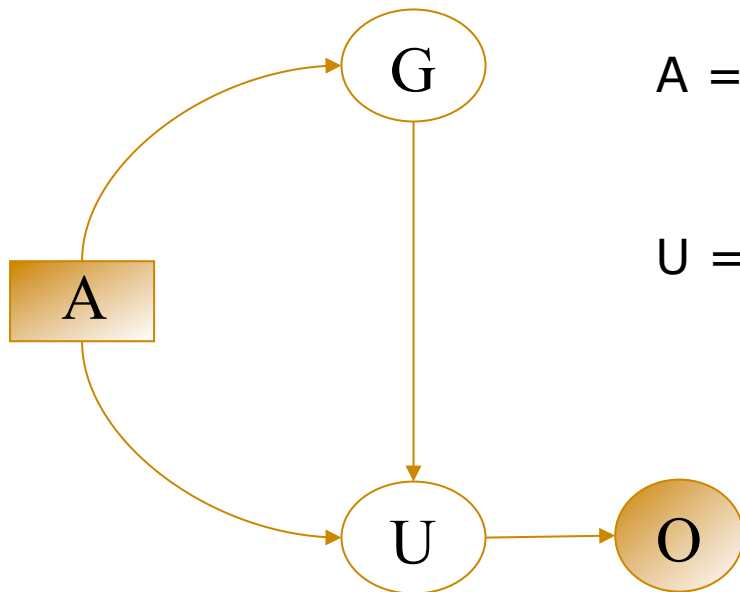
- Hand-crafted
- Generative
- Discriminative

## 2. Policy decisions:

- Deterministic
  - Hand-crafted via flow-chart
  - Logic representations
- Supervised learning
- Reinforcement learning

# Generative state tracking

$$\begin{aligned} p(G|A, O) &= k \sum_U p(O, U, G, A) \\ &= k \sum_U p(O|U)p(U|G, A)p(G|A) \end{aligned}$$



A = System Action

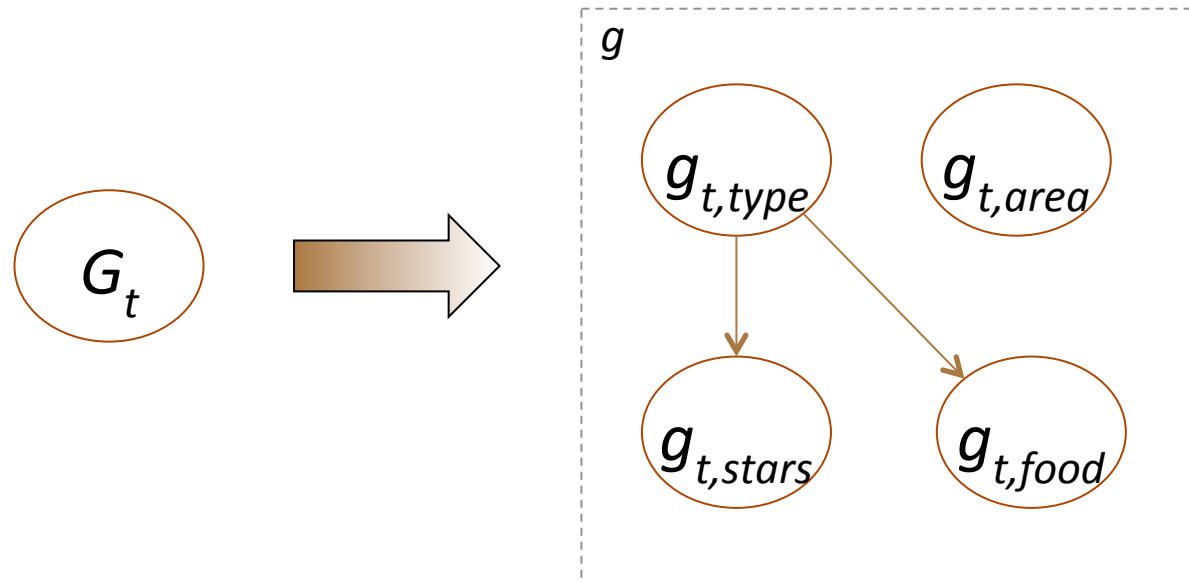
O = Observation

U = User Action

G = User Goal

# Generative state tracking

- Computing joint probabilities is intractable
- Split the goal,  $G_t$ , into sub-goals  $g_{t,c}$
- Assume sub-goals are conditionally independent
- e.g. User wants a Chinese restaurant →  
food=Chinese, type=restaurant



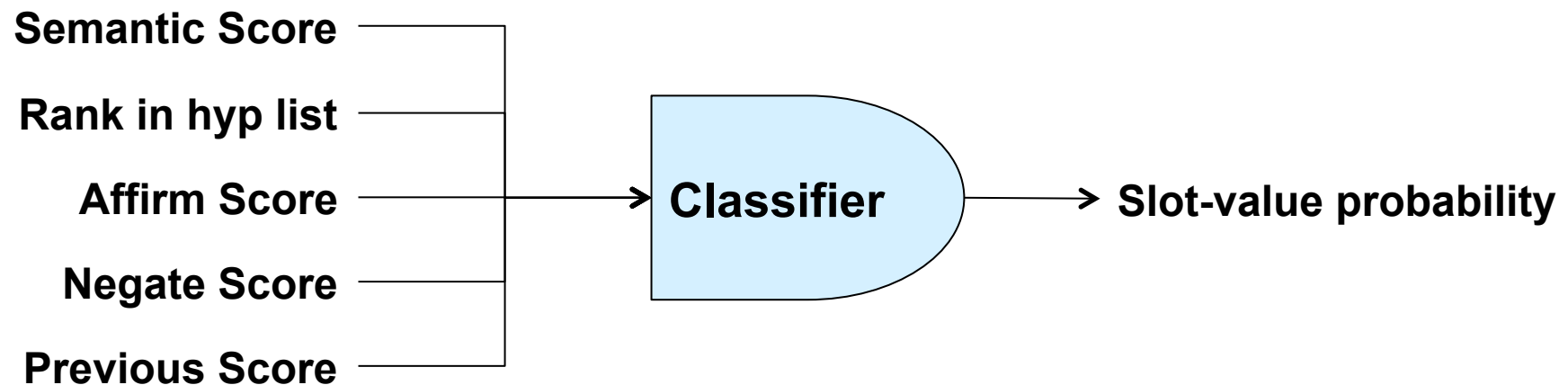


# Generative state tracking – summary

- Simplify via Bayesian networks
  - Loopy belief propagation computes the probabilities
  - Expectation propagation computes parameters
- Parameters can be learned unsupervised
- The model can generate
- It is often difficult to include complex dependencies

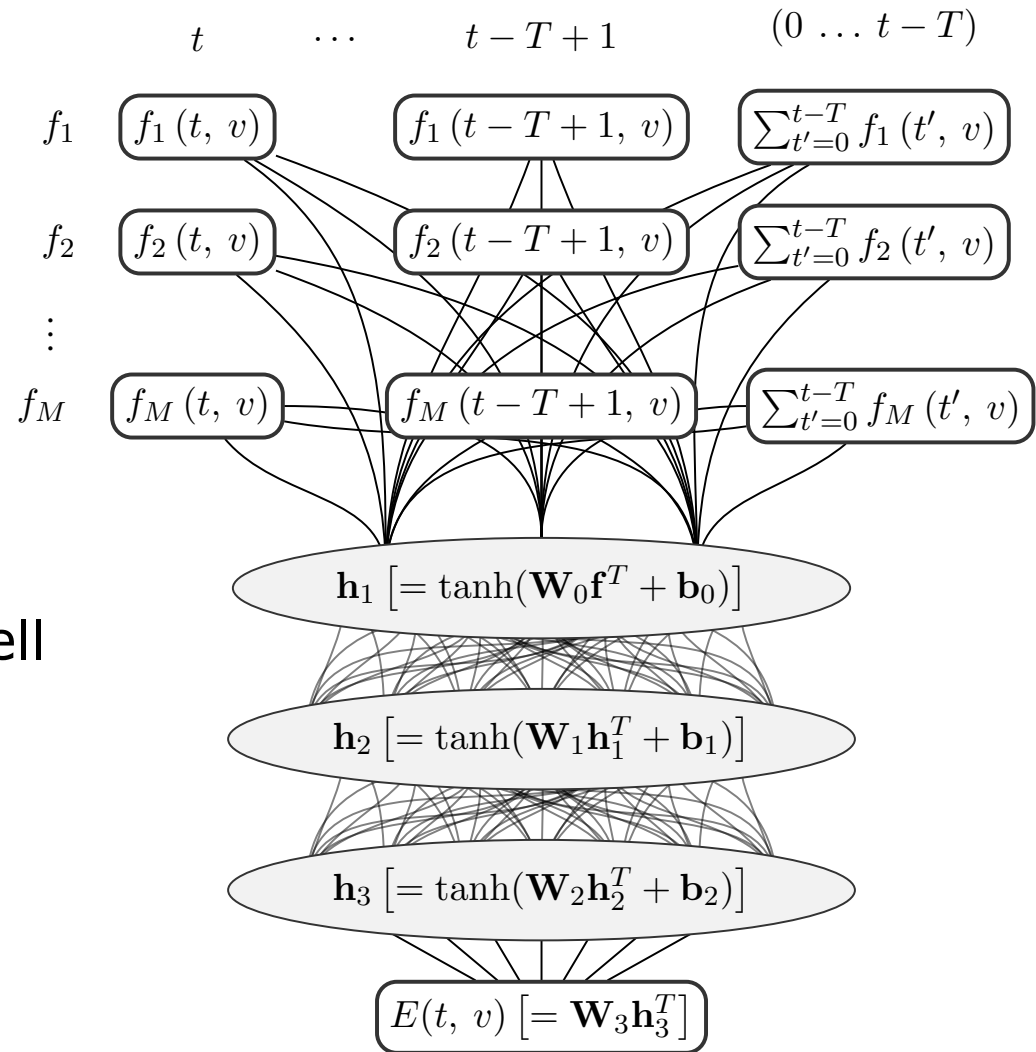
# Discriminative state tracking

- Each slot prediction is a classification task
- Put in whatever features you want



# Discriminative state tracking

- One example:  
Deep Neural Network
- Take features from  
previous 9 turns  
+ summary of previous
- Designed to generalize well  
to other domains



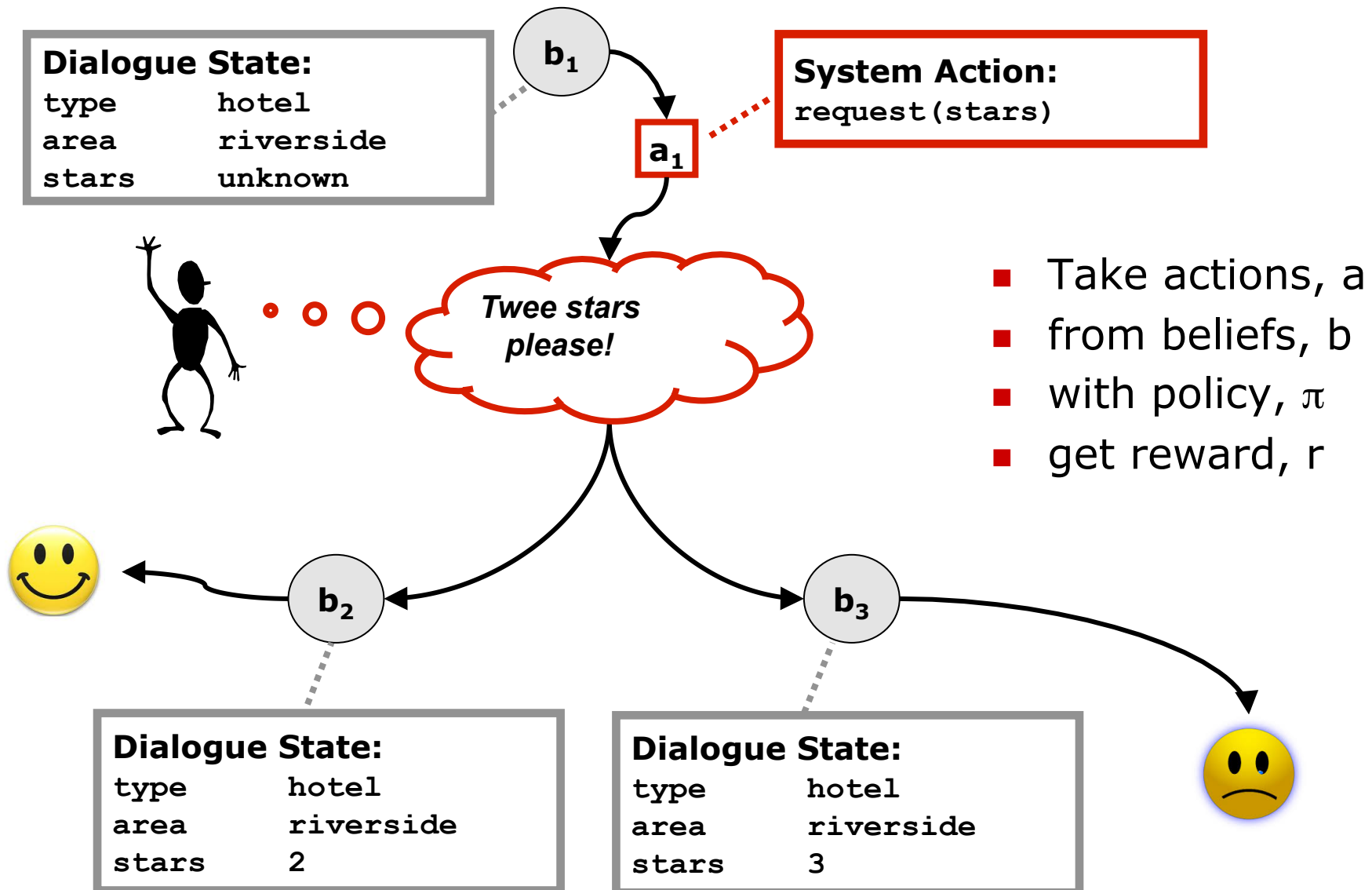
# Discriminative vs generative – summary

	Gen	Disc
Easily add complex dependencies		✓
Current best performance		✓
Generates for simulation	✓	
Trains from annotations	✓	✓
Currently trains unsupervised	✓	

# Reinforcement learning – an example



# Reinforcement learning – the idea



# Reinforcement learning – the aim

- Care about Expected Future Reward, or Q function:

$$Q^\pi(b, a) = E^\pi \left( \sum_t r(b_t, a_t) \right)$$

Expected Future  
Reward

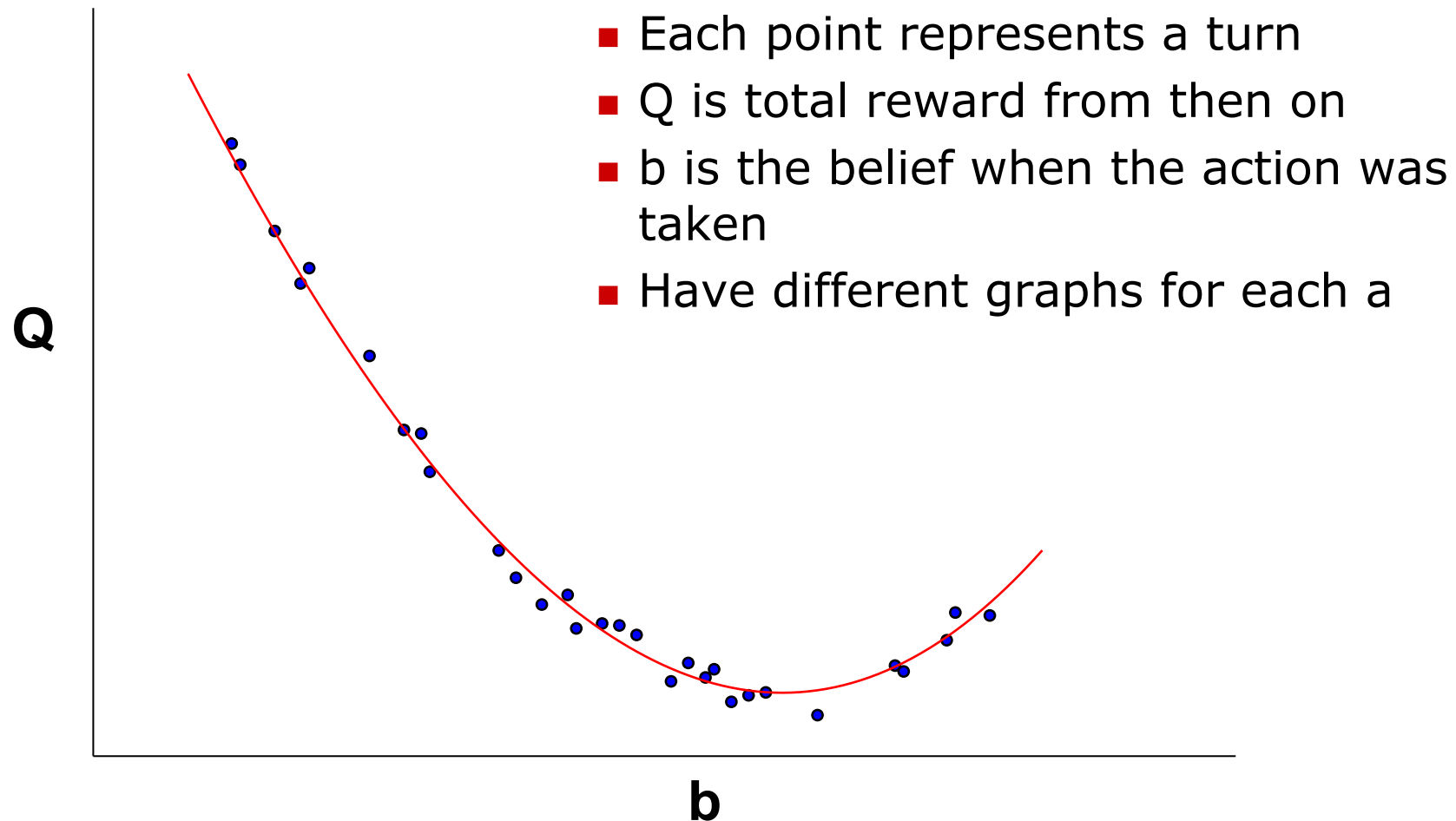
Reward at each time point

Choose  $\pi$  to maximise  $Q^\pi(b_0, \pi(b_0))$ :

Start State

# Reinforcement learning – in practice

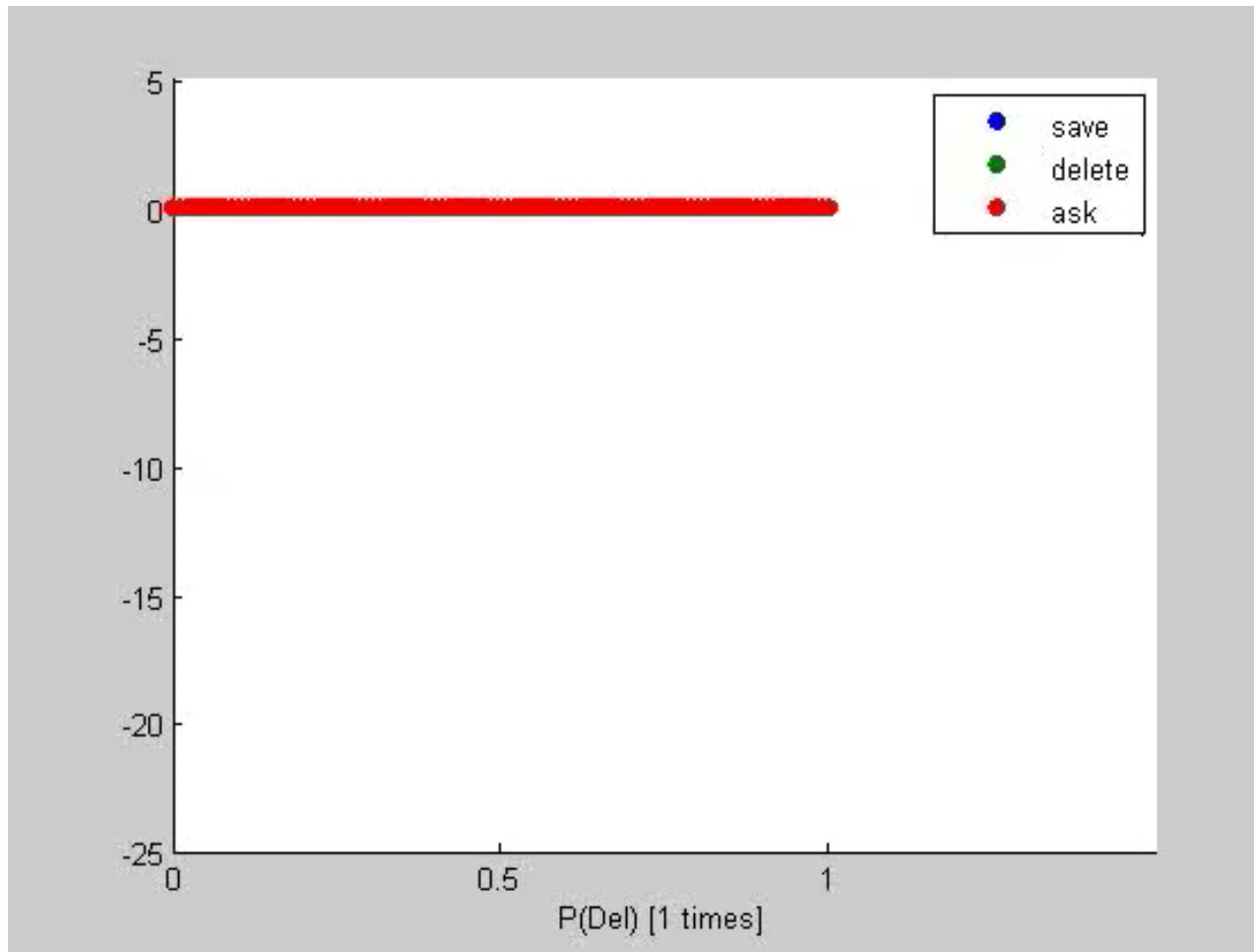
- In practice, we sample and make approximations





# Reinforcement learning – An example

**Voicemail – Save message, Delete message or Ask user?**



# Reinforcement learning – the rewards

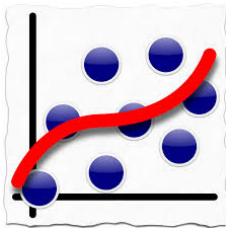
Where do we get the rewards?



**1. Ask**



**2. Simulate**

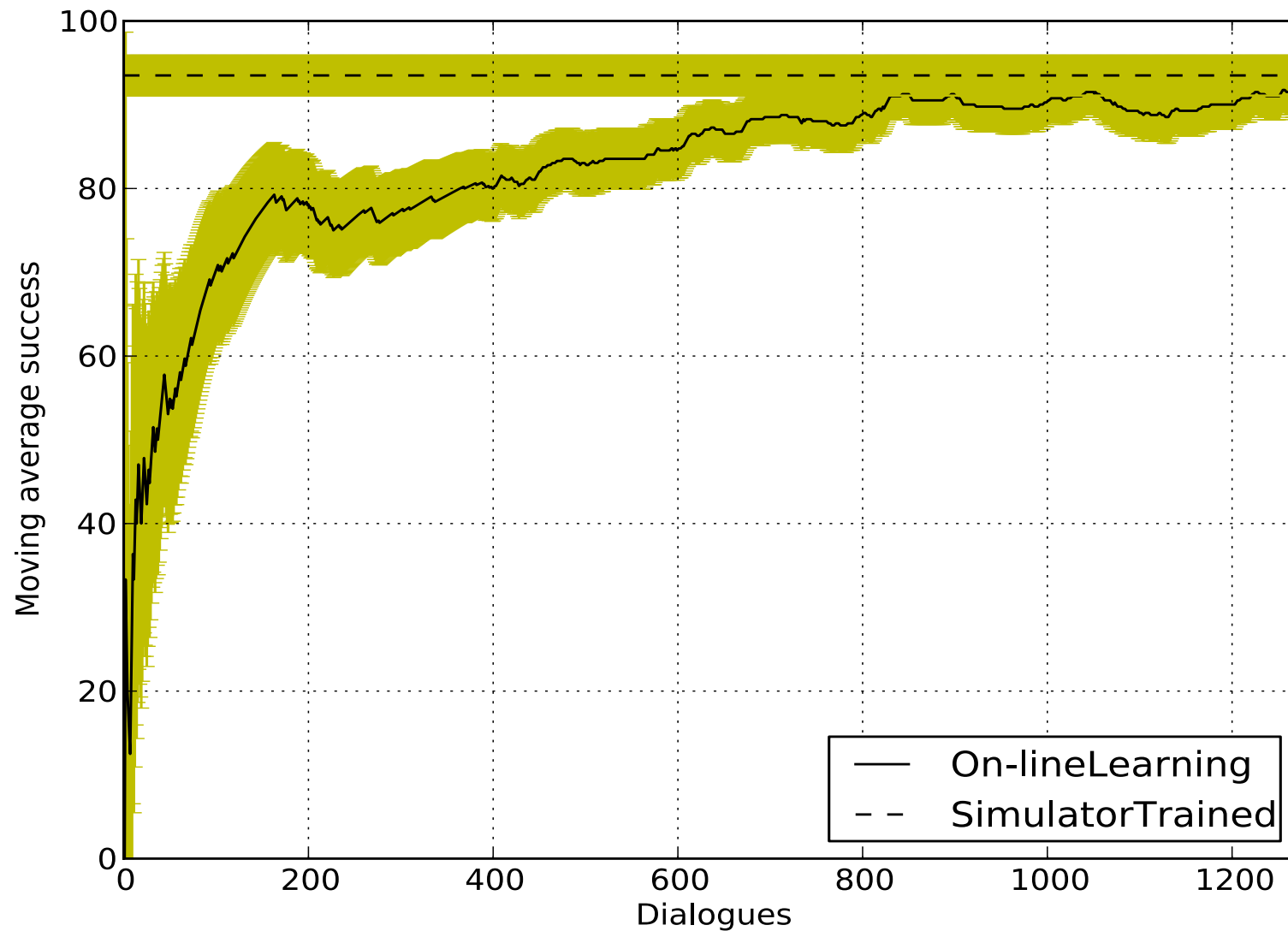


**3. Estimate**



**4. Ask + Estimate + Screen**

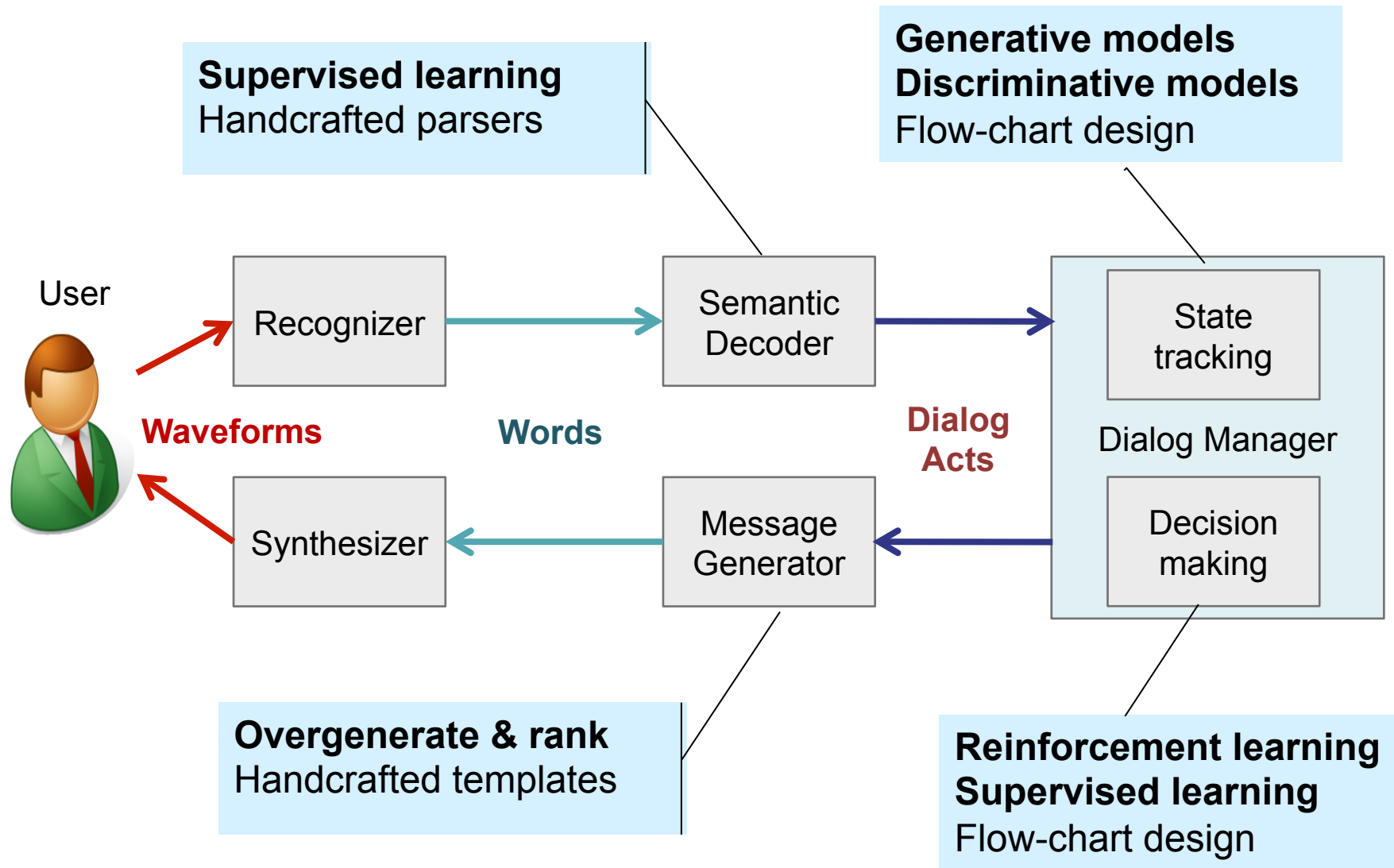
# Reinforcement learning – with humans



# Reinforcement learning – with humans

	Simulator trained	On-line trained
Evaluation dialogues	400	410
Reward	11.6 +/- 0.4	13.4 +/- 0.3
Success (%)	93.5 +/- 1.2	96.8 +/- 0.9

# Spoken dialogue – current techniques



# Turn taking

Actually it's really hard to know when to speak!

**1. Voice Activity Detectors**

**2. Continuous ASR**

**3. Reinforcement learn**

# Tools – Corpora

- ATIS
  - Flight timetables
  - 5000 utterances
- Lets Go – DSTC 1
  - Bus timetable domain
  - 15 000 of live dialogs
  - Audio, Annotated text, Annotated fixed goals
- Cambridge Restaurants – DSTC 2
  - Restaurant information
  - 6 000 dialogs with mechanical turkers
  - Audio, Annotated text, Annotated semantics, Annotated goals (which can change)
  - 2014 Challenge task

# Tools – Software

- Olympus dialog system (Hand-crafted)
  - Dan Bohus - <http://www.cs.cmu.edu/~dbohus/>
  - Desktop-based system
  - C++
- webdialog framework:
  - Matt Henderson - [bitbucket.org/matthen/webdialog](http://bitbucket.org/matthen/webdialog)
  - Chrome-based speech recognition
  - Python



# Future directions

- Better algorithms:
  - Semantics
  - State tracking
  - Policy learning
  - Generation
- Changing domains
- Open domain systems
- Removing the fixed turn model (incremental dialog)

# Thanks – Blaise Thomson

All joint work with Cambridge Dialogue Systems group



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**Milica Gasic**



**Jorge Prombonas**



**Matt Henderson**



**Catherine Breslin**



**Martin Szummer**



**Steve Young**